

**Format of holding of specified securities**

<b>1.</b>	Name of Listed Entity:	<b>RADICO KHAITAN FINANCE LIMITED</b>	
<b>2.</b>	Scrip Code/Name of Scrip/Class of Security	<b>Equity Share</b>	
<b>3.</b>	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Reg. 31(1)(b)	
	a. If under 31(1)(b) then indicate the report for Quarter ending	<b>30-Sep-17</b>	
	b. If under 31(1)(c) then indicate date of allotment/extinguishment	N.A.	
<b>4.</b>	<b>Declaration:</b> The Listed entity is required to submit the following declaration to the extent of submission of information:-		
	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
	1. Whether the Listed Entity has issued any partly paid up shares?	N.A.	No
	2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	N.A.	No
	3. Whether the Listed Entity has any shares against which depository receipts are issued?	N.A.	No
	4. Whether the Listed Entity has any shares in locked-in?	N.A.	No
	5. Whether any shares held by promoters are pledge or otherwise encumbered?	N.A.	No
<b>5.</b>	The tabular format for disclosure of holding of specified securities is as follows:		

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)  As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class:Equity	Class:equity	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	54.27	0	0	0	0	4505639
(B)	Public	22938	4115906	0	0	4115906	45.73	4115906	0	4115906	45.73	0	45.73	0	0	0	0	569600
(C)	Non Promoter-Non Public			0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	<b>Total</b>	<b>22949</b>	<b>9000000</b>	<b>0</b>	<b>0</b>	<b>9000000</b>	<b>100.00</b>	<b>9000000</b>	<b>0</b>	<b>9000000</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5075239</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

1	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
									Class: Equity	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)
<b>1</b>	<b>Indian</b>																		
(a)	Individual/Hindu Undivided Family		4	2750833	0	0	2750833	30.56	2750833	0	2750833	30.56	0	30.56	0	0.00	0	0.00	2750833
	Kamesh Kumar Khaitan	AGGPK1422J		550000	0	0	550000	6.11	550000	0	550000	6.11	0	6.11	0	0.00	0	0.00	550000
	Kamesh Kumar Khaitan (HUF)	AAEHK9559G		450000	0	0	450000	5.00	450000	0	450000	5.00	0	5.00	0	0.00	0	0.00	450000
	Karuna Devi Khaitan	AKZPK4941R		900000	0	0	900000	10.00	900000	0	900000	10.00	0	10.00	0	0.00	0	0.00	900000
	Anirudh Khaitan	AKZPK4947K		850833	0	0	850833	9.45	850833	0	850833	9.45	0	9.45	0	0.00	0	0.00	850833
(b)	Central Government/State Government(s)	N.A.	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	N.A.	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Specity) - Body Corporate		7	2133261	0	0	2133261	23.70	2133261	0	2133261	23.70	0	23.70	0	0.00	0	0.00	1754806
	Janpriya Kalyan Kosh Pvt Ltd	AABCJ7917K		671796	0	0	671796	7.46	671796	0	671796	7.46	0	7.46	0	0.00	0	0.00	671796
	Anshuman Finance Ltd.	AACCA0773F		580000	0	0	580000	6.44	580000	0	580000	6.44	0	6.44	0	0.00	0	0.00	580000
	John Paterson & Co. (I) Ltd.	AAACJ6774B		325955	0	0	325955	3.62	325955	0	325955	3.62	0	3.62	0	0.00	0	0.00	0
	Anirudh Commercial Pvt. Ltd.	AACCA3706G		250000	0	0	250000	2.78	250000	0	250000	2.78	0	2.78	0	0.00	0	0.00	250000
	Anirudh Holdings Pvt. Ltd.	AAFCA4113L		215000	0	0	215000	2.39	215000	0	215000	2.39	0	2.39	0	0.00	0	0.00	215000
	Parvati Holding Pvt Ltd	AABCP6926E		52500	0	0	52500	0.58	52500	0	52500	0.58	0	0.58	0	0.00	0	0.00	0
	Penguin Leather Products Pvt Ltd	AABCP8102G		38010	0	0	38010	0.42	38010	0	38010	0.42	0	0.42	0	0.00	0	0.00	38010
	Sub Total (A)(1)		11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	54.27	0	0	0	0	4505639
<b>2</b>	<b>Foreign</b>																		
(a)	Individual/Non Resident Individual/Foreing Individual	N.A.	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(b)	Government	N.A.	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	N.A.	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	N.A.	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specity)	N.A.	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
	Sub Total (A)(2)	N.A.	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	54.27	0	0	0	0	4505639

**Note:**

1. Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: N.A.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
									Class: Equity	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Fund	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b)	Venture Capital Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Alternate Investment Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d)	Foreign Venture Capital Investor	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e)	Foreign Portfolio Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(f)	Financial Institutions/Banks	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(g)	Insurance Companies	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(h)	Provident Fund/Pensions Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(i)	Any Other Specify	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	<b>Sub Total (B)(1)</b>	N.A.	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>2</b>	<b>Central Government/State Government/President of India</b>	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	<b>Sub Total (B)(2)</b>	N.A.	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>3</b>	<b>Non-Institutions</b>																		
(a)	<b>1. Individual Shareholders holding Nominal Share Capital Up to Rs. 2 Lacs</b>	N.A.	22796	3794796	0	0	3794796	42.16	3794796	0	3794796	42.16	0	42.16	0	0.00	0	0	459190
	<b>2. Individual Shareholders holding Nominal Share Capital Above Rs. 2 Lacs</b>	N.A.	1	23100	0	0	23100	0.26	23100	0	23100	0.26	0	0.26	0	0.00	0	0	23100
(b)	NBFCs Registered with RBI	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Employee Trusts	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Overseas Depositories (Holding DRs) (Balancing Figure)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any other - (Specify) Body Corporate	N.A.	135	297010	0	0	297010	3.30	297010	0	297010	3.30	0	3.30	0	0.00	0	0	86310
	NRI		1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0	100
	Clearing Member		4	800	0	0	800	0.01	800	0	800	0.01	0	0.01	0	0.00	0	0	800
	Trust		1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0	100
	<b>Sub Total (B)(3)</b>	N.A.	22938	4115906	0	0	4115906	45.73	4115906	0	4115906	45.73	0	45.73	0	0	0	0	569600
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2) + B (3)</b>	N.A.	22938	4115906	0	0	4115906	45.73	4115906	0	4115906	45.73	0	45.73	0	0	0	0	569600

**Note:**

1. Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): N.A.

2. Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: N.A.

3. Name of the Person has been disclosed in the respective categories in case the shareholder hold 1% or more of total number of shares of the Company

