

Format of holding of specified securities

1.	Name of Listed Entity:	RADICO KHAITAN FINANCE LIMITED	
2.	Scrip Code/Name of Scrip/Class of Security	Equity Share	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Reg. 31(1)(b)	
	a. If under 31(1)(b) then indicate the report for Quarter ending	30-Sep-16	
	b. If under 31(1)(c) then indicate date of allotment/extinguishment	N.A.	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
	Particulars	Yes*	No*
	1. Whether the Listed Entity has issued any partly paid up shares?	N.A.	No
	2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	N.A.	No
	3. Whether the Listed Entity has any shares against which depository receipts are issued?	N.A.	No
	4. Whether the Listed Entity has any shares in locked-in?	N.A.	No
	5. Whether any shares held by promoters are pledge or otherwise encumbered?	N.A.	No
5.	The tabular format for disclosure of holding of specified securities is as follows:		



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								Class:Equity	Class:Equity	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	0	0	0	0	4505639	
(B)	Public	22941	4115906	0	0	4115906	45.73	4115906	0	4115906	45.73	0	0	0	0	0	562300	
(C)	Non Promoter-Non Public						0.00	0	0	0	0.00	0	0	0	0	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Total	22952	9000000	0	0	9000000	100.00	9000000	0	9000000	100.00	0	0	0	0	0	5067939	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of Total nos. shares held	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
							No of Voting Rights	Class: Equity	Class: Y			Total	No. (a)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)
1	Indian	4	2750833	0	2750833	30.56	2750833	0	2750833	30.56	0	0.00	0	0.00	2750833
	Individual/Hindu Undivided Family														
	Kamesh Kumar Khaitan		550000	0	550000	6.11	550000	0	550000	6.11	0	0.00	0	0.00	550000
	Kamesh Kumar Khaitan (HUF)		450000	0	450000	5.00	450000	0	450000	5.00	0	0.00	0	0.00	450000
	Kanana Devi Khaitan		900000	0	900000	10.00	900000	0	900000	10.00	0	0.00	0	0.00	900000
	Anirudh Khaitan		850833	0	850833	9.45	850833	0	850833	9.45	0	0.00	0	0.00	850833
	Central Government/State Government(s)		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	(c) Financial Institutions/Banks		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	(d) Any Other (Specify) - Body Corporate		7	2133261	0	2133261	23.70	2133261	23.70	23.70	0	0.00	0	0.00	1754806
	Jaypiya Kalyan Kesh Pvt Ltd		671796	0	671796	7.46	671796	0	671796	7.46	0	0.00	0	0.00	671796
	Anshuman Finance Ltd		580000	0	580000	6.44	580000	0	580000	6.44	0	0.00	0	0.00	580000
	John Paterson & Co. (I) Ltd.		325955	0	325955	3.62	325955	0	325955	3.62	0	0.00	0	0.00	325955
	Anirudh Commercial Pvt. Ltd.		250000	0	250000	2.78	250000	0	250000	2.78	0	0.00	0	0.00	250000
	Anirudh Holdings Pvt. Ltd.		215000	0	215000	2.39	215000	0	215000	2.39	0	0.00	0	0.00	215000
	Parvat Holding Pvt Ltd		52500	0	52500	0.58	52500	0	52500	0.58	0	0.00	0	0.00	52500
	Penguin Leather Products Pvt.Ltd		38010	0	38010	0.42	38010	0	38010	0.42	0	0.00	0	0.00	38010
	Sub Total (AX1)		11	4884094	0	4884094	54.27	4884094	54.27	54.27	0	0.00	0	0.00	4505639
2	Foreign		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	(a) Individual/Non Resident		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	(b) Individual/Foreign Individual		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	(c) Institutions		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	(d) Foreign Portfolio Investor		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	(e) Any Other (Specify)		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Sub Total (AX2)		0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(AX1)+(AX2)		11	4884094	0	4884094	54.27	4884094	54.27	54.27	0	0.00	0	0.00	4505639

Note:

1. Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. N.A.



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % as per SCRR, 1957 (A+B+C)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % of full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								No. of Voting Rights Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)	
1														
(a) Institutions														
(a) Mutual Fund	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Venture Capital Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Alternate Investment Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Venture Capital Investor	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Foreign Portfolio Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
(f) Financial Institutions/Banks	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
(g) Insurance Companies	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
(h) Provident Funds/Pension Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
(i) Any Other Specify	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(1)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
2														
Government/State	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
Government/President of India	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0
3														
Non-Institutions	N.A.	22796	3794496	0	0	3794496	42.16	3794496	42.16	0	42.16	0	0	451590
(a) 1. Individual Shareholders holding Nominal Share Capital Up to Rs. 2 Lacs	N.A.	1	23100	0	0	23100	0.26	23100	0.26	0	0.26	0	0	23100
2. Individual Shareholders holding Nominal Share Capital Above Rs. 2 Lacs	N.A.	138	297410	0	0	297410	3.30	297410	3.30	0	3.30	0	0	86710
(b) NBFCs Registered with RBI	N.A.	0	100	0	0	100	0.00	100	0.00	0	0.00	0	0	100
(c) Employee Trusts	N.A.	0	700	0	0	700	0.01	700	0.01	0	0.01	0	0	700
(d) Overseas Depositories (Holding DRs) (Balancing Figure)	N.A.	0	100	0	0	100	0.00	100	0.00	0	0.00	0	0	100
Sub Total (B)(3)	N.A.	22941	4115906	0	0	4115906	45.73	4115906	45.73	0	45.73	0	0	562300
(B)=(B)(1)+(B)(2) + B (3)	N.A.	22941	4115906	0	0	4115906	45.73	4115906	45.73	0	45.73	0	0	562300

NOTE:
 1. Details of the shareholders acting as persons in Concert, including their Shareholding (No. and %). N.A.
 2. Details of Shares which remain unclaimed may be given bear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen, etc.: N.A.
 3. Name of the Person has been disclosed in the respective categories in case the shareholder hold 1% or more of total number of shares of the Company



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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights		Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)
								Class X	Class Y				No.	As a % of total Shares held	No.	As a % of total (Not applicable) shares held (Not applicable)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)		(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)			
1 Custodian/DR Holder:	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (if Available)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name (if Available)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non Promoter Non Public Shareholding (C) = (C1)+(C2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

