

Format of holding of specified securities

1.	Name of Listed Entity:	RADICO KHAITAN FINANCE LIMITED	
2.	Scrip Code/Name of Scrip/Class of Security	Equity Share	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Reg. 31(1)(b)	
	a. If under 31(1)(b) then indicate the report for Quarter ending	30-Sep-19	
	b. If under 31(1)(c) then indicate date of allotment/extinguishment	N.A.	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
	Particulars	Yes*	No*
	1. Whether the Listed Entity has issued any partly paid up shares?	N.A.	No
	2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	N.A.	No
	3. Whether the Listed Entity has any shares against which depository receipts are issued?	N.A.	No
	4. Whether the Listed Entity has any shares in locked-in?	N.A.	No
	5. Whether any shares held by promoters are pledge or otherwise encumbered?	N.A.	No
5.	The tabular format for disclosure of holding of specified securities is as follows:		



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class: Equity	Class: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	0	0	0	0	4505639	
(B)	Public	22916	4115906	0	0	4115906	45.73	4115906	0	4115906	45.73	0	0	0	0	0	597700	
(C)	Non Promoter-Non Public						0.00		0		0.00	0	0	0	0	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Total	22927	9000000	0	0	9000000	100.00	9000000	0	9000000	100.00	0	0	0	0	0	5103339	

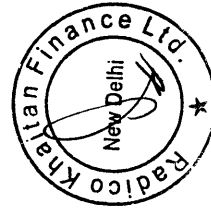


Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form		
								No of Voting Rights		Total			No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total shares held (b)
								Class: Equity	Class Y									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)				
1																		
(a) Indian		4	2750833	0	0	2750833	30.56	2750833	0	2750833	30.56	0	0.00	0	2750833			
Individual/Hindu Undivided Family																		
Kamesh Kumar Khaitan	AGGPK1422J		550000	0	0	550000	6.11	550000	0	550000	6.11	0	0.00	0	550000			
Kamesh Kumar Khaitan (HUF)	AAEHRK9559G		450000	0	0	450000	5.00	450000	0	450000	5.00	0	0.00	0	450000			
Karuna Devi Khaitan	AKZPK4941R		900000	0	0	900000	10.00	900000	0	900000	10.00	0	0.00	0	900000			
Anirudh Khaitan	AKZPK4947K		850833	0	0	850833	9.45	850833	0	850833	9.45	0	0.00	0	850833			
(b) Central Government/State Government(s)	N.A.	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(c) Financial Institutions/Banks	N.A.	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(d) Any Other (Specify) - Body Corporate	N.A.	7	2133261	0	0	2133261	23.70	2133261	0	2133261	23.70	0	0.00	0	1754806			
Janpriya Kalyan Kosh Pvt Ltd	AABCJ7917K		671796	0	0	671796	7.46	671796	0	671796	7.46	0	0.00	0	671796			
Anshuman Finance Ltd.	AACCA0773F		580000	0	0	580000	6.44	580000	0	580000	6.44	0	0.00	0	580000			
John Paterson & Co. (I) Ltd.	AAACI6774B		325955	0	0	325955	3.62	325955	0	325955	3.62	0	0.00	0	325955			
Anirudh Commercial Pvt. Ltd.	AACCA3706G		250000	0	0	250000	2.78	250000	0	250000	2.78	0	0.00	0	250000			
Anirudh Holdings Pvt. Ltd.	AAFC44113L		215000	0	0	215000	2.39	215000	0	215000	2.39	0	0.00	0	215000			
Parvati Holding Pvt Ltd	AABCF6926E		52500	0	0	52500	0.58	52500	0	52500	0.58	0	0.00	0	52500			
Penguin Leather Products Pvt Ltd	AABCF8102G		38010	0	0	38010	0.42	38010	0	38010	0.42	0	0.00	0	38010			
Sub Total (A1)		11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	0.00	0	4505639			
Foreign																		
(a) Individual/Non Resident Individual/Foreign Individual	N.A.	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(b) Government	N.A.	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(c) Institutions	N.A.	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(d) Foreign Portfolio Investor	N.A.	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(e) Any Other (Specify)	N.A.	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
Sub Total (A2)	N.A.	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)		11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	0.00	0	4505639			

Note:

1. Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: N.A.



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding % as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights Class Y	Total				No. (a)	As a % of total Shares held (b)		No. (Not applicable) (a)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(V)+(VI)	(VIII)	(IX)	(X)	(XI)=(X)+(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	
1																
(a) Mutual Fund	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Venture Capital Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Alternate Investment Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Venture Capital Investor	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Foreign Portfolio Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f) Financial Institutions/Bank	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(g) Insurance Companies	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h) Provident Fund/Pensions Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i) Any Other Specify	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(I)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Government/State Governments/President of India	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3																
(a) 1. Individual Shareholders holding Nominal Share Capital Up to Rs. 2 Lacs	N.A.	22781	3796406	9	0	3796406	42.18	3796406	42.18	0	42.18	0	0.00	0	0	488900
2. Individual Shareholders holding Nominal Share Capital Above Rs. 2 Lacs	N.A.	1	23100	0	0	23100	0.26	23100	0.26	0	0.26	0	0.00	0	0	23100
(b) NBFCs Registered with RBI	N.A.	0	0	0	0	0	0.00	0	0.00	0	0	0	0	0	0	0
(c) Employee Trusts	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Overseas Depositories (Holding DR) (Balancing Figure)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any other - (Specify) Body Corporate	N.A.	129	295400	0	0	295400	3.28	295400	3.28	0	3.28	0	0.00	0	0	84700
NRI	N.A.	2	400	0	0	400	0.00	400	0.00	0	0.00	0	0.00	0	0	400
Clearing Member	N.A.	2	500	0	0	500	0.01	500	0.01	0	0.01	0	0.00	0	0	500
Trust	N.A.	1	100	0	0	100	0.00	100	0.00	0	0.00	0	0.00	0	0	100
Sub Total (B)(3)	N.A.	22916	4115906	9	0	4115906	45.73	4115906	45.73	0	45.73	0	0.00	0	0	597700
Total Public Shareholding (B)-(B)(I)+(B)(2) + B (3)	N.A.	22916	4115906	9	0	4115906	45.73	4115906	45.73	0	45.73	0	0.00	0	0	597700



Note:
 1. Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): N.A.
 2. Details of Shares which remain unclaimed may be given along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. N.A.
 3. Name of the Person has been disclosed in the respective categories in case the shareholder hold 1% or more of total number of shares of the Company

Table IV - Statement showing shareholding pattern of the Non Promoter, Non Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. shares held (V)+(VI)+(VII)+(VIII)+(IX)+(X)+(XI)+(XII)+(XIII)+(XIV)	Shareholding % calculated as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)	
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)+(viii)+(ix)+(x)+(xi)+(xii)+(xiii)+(xiv)	(vii)	(ix)	(x)	(xi)+(xii)+(xiii)+(xiv)	(xv)	(xvi)	(xvii)	(xviii)	(xix)	(xx)	(xxi)	
1 Custodian/DR Holder	N.A.		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (if Available)	N.A.		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)	N.A.		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name (if Available)	N.A.		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non Promoter Non Public Shareholding (C) = (CXI)+(CXII)	N.A.		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

