

Format of holding of specified securities

| | | | |
|-----------|--|---------------------------------------|-----|
| 1. | Name of Listed Entity: | RADICO KHAITAN FINANCE LIMITED | |
| 2. | Scrip Code/Name of Scrip/Class of Security | Equity Share | |
| 3. | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | Reg. 31(1)(b) | |
| | a. If under 31(1)(b) then indicate the report for Quarter ending | 30-Sep-20 | |
| | b. If under 31(1)(c) then indicate date of allotment/extinguishment | N.A. | |
| 4. | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- | | |
| | Particulars | Yes* | No* |
| | 1. Whether the Listed Entity has issued any partly paid up shares? | N.A. | No |
| | 2. Whether the Listed Entity has issued any Convertible Securities or Warrants? | N.A. | No |
| | 3. Whether the Listed Entity has any shares against which depository receipts are issued? | N.A. | No |
| | 4. Whether the Listed Entity has any shares in locked-in? | N.A. | No |
| | 5. Whether any shares held by promoters are pledge or otherwise encumbered? | N.A. | No |
| 5. | The tabular format for disclosure of holding of specified securities is as follows: | | |

Table I - Summary Statement holding of specified securities

| Category | Category of shareholder | Nos. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form |
|----------|--------------------------------|----------------------|---|--|--|------------------------|---|--|--------------|----------------|-------------------------|--|--|----------------------------|---------------------------------|--|---------------------------------|---|
| | | | | | | | | No of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| | | | | | | | | Class:Equity | Class:equity | Total | | | | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII)=(IV)+(V)+(VI) | (VIII) | (IX) | | | | (X) | (XI)= (VII)+(X) | (XII) | | (XIII) | | (XIV) |
| (A) | Promoter & Promoter Group | 11 | 4884094 | 0 | 0 | 4884094 | 54.27 | 4884094 | 0 | 4884094 | 54.27 | 0 | 54.27 | 0 | 0 | 0 | 0 | 4505639 |
| (B) | Public | 22915 | 4115906 | 0 | 0 | 4115906 | 45.73 | 4115906 | 0 | 4115906 | 45.73 | 0 | 45.73 | 0 | 0 | 0 | 0 | 599400 |
| (C) | Non Promoter-Non Public | | | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (C2) | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 22926 | 9000000 | 0 | 0 | 9000000 | 100.00 | 9000000 | 0 | 9000000 | 100.00 | 0 | 100.00 | 0 | 0 | 0 | 0 | 5105039 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| 1 | Category & Name of the Shareholders | PAN | No. of shareholder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2 | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form |
|----------|--|------------|--------------------|---|-----------------------------------|---|------------------------|--|--|---------|---------|-------------------------------------|--|--|----------------------------|---------------------------------|--|---------------------------------|---|
| | | | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total shares held (b) | |
| | | | | | | | | | Class: Equity | Class Y | Total | | | | | | | | |
| | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII)=(IV)+(V)+(VI) | (VIII) | (IX) | | | | (X) | (XI)= (VII)+(X) | (XII) | | (XIII) | | (XIV) |
| 1 | Indian | | | | | | | | | | | | | | | | | | |
| (a) | Individual/Hindu Undivided Family | | 4 | 2750833 | 0 | 0 | 2750833 | 30.56 | 2750833 | 0 | 2750833 | 30.56 | 0 | 30.56 | 0 | 0.00 | 0 | 0.00 | 2750833 |
| | Kamesh Kumar Khaitan | AGGPK1422J | | 550000 | 0 | 0 | 550000 | 6.11 | 550000 | 0 | 550000 | 6.11 | 0 | 6.11 | 0 | 0.00 | 0 | 0.00 | 550000 |
| | Kamesh Kumar Khaitan (HUF) | AAEHK9559G | | 450000 | 0 | 0 | 450000 | 5.00 | 450000 | 0 | 450000 | 5.00 | 0 | 5.00 | 0 | 0.00 | 0 | 0.00 | 450000 |
| | Karuna Devi Khaitan | AKZPK4941R | | 900000 | 0 | 0 | 900000 | 10.00 | 900000 | 0 | 900000 | 10.00 | 0 | 10.00 | 0 | 0.00 | 0 | 0.00 | 900000 |
| | Anirudh Khaitan | AKZPK4947K | | 850833 | 0 | 0 | 850833 | 9.45 | 850833 | 0 | 850833 | 9.45 | 0 | 9.45 | 0 | 0.00 | 0 | 0.00 | 850833 |
| (b) | Central Government/State Government(s) | N.A. | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (c) | Financial Institutions/Banks | N.A. | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (d) | Any Other (Specity) - Body Corporate | | 7 | 2133261 | 0 | 0 | 2133261 | 23.70 | 2133261 | 0 | 2133261 | 23.70 | 0 | 23.70 | 0 | 0.00 | 0 | 0.00 | 1754806 |
| | Janpriya Kalyan Kosh Pvt Ltd | AABCJ7917K | | 671796 | 0 | 0 | 671796 | 7.46 | 671796 | 0 | 671796 | 7.46 | 0 | 7.46 | 0 | 0.00 | 0 | 0.00 | 671796 |
| | Anshuman Finance Ltd. | AACCA0773F | | 580000 | 0 | 0 | 580000 | 6.44 | 580000 | 0 | 580000 | 6.44 | 0 | 6.44 | 0 | 0.00 | 0 | 0.00 | 580000 |
| | John Paterson & Co. (I) Ltd. | AAACJ6774B | | 325955 | 0 | 0 | 325955 | 3.62 | 325955 | 0 | 325955 | 3.62 | 0 | 3.62 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Anirudh Commercial Pvt. Ltd. | AACCA3706G | | 250000 | 0 | 0 | 250000 | 2.78 | 250000 | 0 | 250000 | 2.78 | 0 | 2.78 | 0 | 0.00 | 0 | 0.00 | 250000 |
| | Anirudh Holdings Pvt. Ltd. | AAFCA4113L | | 215000 | 0 | 0 | 215000 | 2.39 | 215000 | 0 | 215000 | 2.39 | 0 | 2.39 | 0 | 0.00 | 0 | 0.00 | 215000 |
| | Parvati Holding Pvt Ltd | AABCP6926E | | 52500 | 0 | 0 | 52500 | 0.58 | 52500 | 0 | 52500 | 0.58 | 0 | 0.58 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Penguin Leather Products Pvt Ltd | AABCP8102G | | 38010 | 0 | 0 | 38010 | 0.42 | 38010 | 0 | 38010 | 0.42 | 0 | 0.42 | 0 | 0.00 | 0 | 0.00 | 38010 |
| | Sub Total (A)(1) | | 11 | 4884094 | 0 | 0 | 4884094 | 54.27 | 4884094 | 0 | 4884094 | 54.27 | 0 | 54.27 | 0 | 0 | 0 | 0 | 4505639 |
| 2 | Foreign | | | | | | | | | | | | | | | | | | |
| (a) | Individual/Non Resident Individual/Foreing Individual | N.A. | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (b) | Government | N.A. | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (c) | Institutions | N.A. | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (d) | Foreign Portfolio Investor | N.A. | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (e) | Any Other (Specity) | N.A. | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Sub Total (A)(2) | N.A. | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 11 | 4884094 | 0 | 0 | 4884094 | 54.27 | 4884094 | 0 | 4884094 | 54.27 | 0 | 54.27 | 0 | 0 | 0 | 0 | 4505639 |

Note:

1. Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: N.A.

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of the Shareholders | PAN | Nos. of share holder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|----------|---|-------------|----------------------|---|-----------------------------------|---|------------------------|--|--|----------|------------------|--|--|-------------------------------------|----------|--|--------------------------|---|--|
| | | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (Not applicable) (a) | | As a % of total shares held (Not applicable) (b) |
| | | | | | | | | | Class: Equity | Class Y | Total | | | | | | | | |
| | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII)=(IV)+(V)+(VI) | (VIII) | (IX) | | | (X) | (XI)=(VII)+(X) | (XII) | | (XIII) | (XIV) | | |
| 1 | Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Fund | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (b) | Venture Capital Funds | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (c) | Alternate Investment Funds | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (d) | Foreign Venture Capital Investor | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (e) | Foreign Portfolio Investors | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (f) | Financial Institutions/Banks | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (g) | Insurance Companies | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (h) | Provident Fund/Pensions Funds | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (i) | Any Other Specify | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| | Sub Total (B)(1) | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2 | Central Government/State Government/President of India | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| | Sub Total (B)(2) | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3 | Non-Institutions | | | | | | | | | | | | | | | | | | |
| (a) | 1. Individual Shareholders holding Nominal Share Capital Up to Rs. 2 Lacs | N.A. | 22776 | 3795508 | 0 | 0 | 3795508 | 42.17 | 3795508 | 0 | 3795508 | 42.17 | 0 | 42.17 | 0 | 0.00 | 0 | 0 | 489702 |
| | 2. Individual Shareholders holding Nominal Share Capital Above Rs. 2 Lacs | N.A. | 1 | 23100 | 0 | 0 | 23100 | 0.26 | 23100 | 0 | 23100 | 0.26 | 0 | 0.26 | 0 | 0.00 | 0 | 0 | 23100 |
| (b) | NBFCs Registered with RBI | N.A. | | | 0 | 0 | | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (c) | Employee Trusts | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (d) | Overseas Depositories (Holding DRs) (Balancing Figure) | N.A. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (e) | Any other - (Specify) Body Corporate | N.A. | 131 | 295900 | 0 | 0 | 295900 | 3.29 | 295900 | 0 | 295900 | 3.29 | 0 | 3.29 | 0 | 0.00 | 0 | 0 | 85200 |
| | NRI | | 3 | 500 | 0 | 0 | 500 | 0.01 | 500 | 0 | 500 | 0.01 | 0 | 0.01 | 0 | 0.00 | 0 | 0 | 500 |
| | Clearing Member | | 3 | 598 | 0 | 0 | 598 | 0.01 | 598 | 0 | 598 | 0.01 | 0 | 0.01 | 0 | 0.00 | 0 | 0 | 598 |
| | Trust | | 1 | 300 | 0 | 0 | 300 | 0.00 | 300 | 0 | 300 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 300 |
| | Sub Total (B)(3) | N.A. | 22,915 | 4,115,906 | - | - | 4,115,906 | 45.73 | 4,115,906 | - | 4,115,906 | 45.73 | - | 45.73 | - | - | - | - | 599,400 |
| | Total Public Shareholding (B)=(B)(1)+(B)(2) + B (3) | N.A. | 22,915 | 4,115,906 | - | - | 4,115,906 | 45.73 | 4,115,906 | - | 4,115,906 | 45.73 | - | 45.73 | - | - | - | - | 599,400 |

Note:

