

**Format of holding of specified securities**

<b>1.</b>	<b>Name of Listed Entity:</b>	<b>RADICO KHAITAN FINANCE LIMITED</b>
<b>2.</b>	<b>Scrip Code/Name of Scrip/Class of Security</b>	<b>Equity Share</b>
<b>3.</b>	<b>Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)</b>	<b>Reg. 31(1)(b)</b>
	<b>a. If under 31(1)(b) then indicate the report for Quarter ending</b>	<b>30-Sep-21</b>
	<b>b. If under 31(1)(c) then indicate date of allotment/extinguishment</b>	<b>N.A.</b>
<b>4.</b>	<b>Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-</b>	
	<b>Particulars</b>	<b>Yes*</b>
	1. Whether the Listed Entity has issued any partly paid up shares?	N.A.
	2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
	3. Whether the Listed Entity has any shares against which depository receipts are issued?	No
	4. Whether the Listed Entity has any shares in locked-in?	No
	5. Whether any shares held by promoters are pledge or otherwise encumbered?	No
<b>5.</b>	<b>The tabular format for disclosure of holding of specified securities is as follows:</b>	



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights securities			No. of Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								Class:Equity	No of Voting Rights				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Total	(A+B+C)							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)		
(A)	Promoter & Promoter Group	11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	0	0	0	4505639	
(B)	Public	22912	4115906	0	0	4115906	45.73	4115906	0	4115906	45.73	0	0	0	0	601400	
(C)	Non Promoter-Non Public						0.00	0	0	0	0.00	0	0	0	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	
	Total	22923	9000000	0	0	9000000	100.00	9000000	0	9000000	100.00	0	0	0	0	5107039	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights			Total as a % of Total Voting rights	No. of Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
							Class: Equity		No. (a)				As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
							Class Y	Total									
(I)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)			
<b>1</b>																	
<b>(a) Indian</b>																	
Individual/Hindu Undivided Family	4	2750833	0	0	2750833	30.56	2750833	0	2750833	30.56	0	30.56	0	0.00	0	0.00	2750833
Kamesh Kumar Khaizan		550000	0	0	550000	6.11	550000	0	550000	6.11	0	6.11	0	0.00	0	0.00	550000
Kamesh Kumar Khaizan (HUF)		450000	0	0	450000	5.00	450000	0	450000	5.00	0	5.00	0	0.00	0	0.00	450000
Karuna Devi Khaizan		900000	0	0	900000	10.00	900000	0	900000	10.00	0	10.00	0	0.00	0	0.00	900000
Anirudh Khaizan		850833	0	0	850833	9.45	850833	0	850833	9.45	0	9.45	0	0.00	0	0.00	850833
<b>(b) Central Government/State Government(s)</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
<b>(c) Financial Institutions/Banks</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
<b>(d) Any Other (Specify) - Body Corporate</b>	7	2133261	0	0	2133261	23.70	2133261	0	2133261	23.70	0	23.70	0	0.00	0	0.00	1754806
Janpriya Kalyan Kosh Pvt Ltd		671796	0	0	671796	7.46	671796	0	671796	7.46	0	7.46	0	0.00	0	0.00	671796
Anshuman Finance Ltd.		580000	0	0	580000	6.44	580000	0	580000	6.44	0	6.44	0	0.00	0	0.00	580000
John Paterson & Co. (I) Ltd.		325955	0	0	325955	3.62	325955	0	325955	3.62	0	3.62	0	0.00	0	0.00	325955
Anirudh Commercial Pvt. Ltd.		250000	0	0	250000	2.78	250000	0	250000	2.78	0	2.78	0	0.00	0	0.00	250000
Anirudh Holdings Pvt. Ltd.		215000	0	0	215000	2.39	215000	0	215000	2.39	0	2.39	0	0.00	0	0.00	215000
Parvati Holding Pvt Ltd		52500	0	0	52500	0.58	52500	0	52500	0.58	0	0.58	0	0.00	0	0.00	52500
Penguin Leather Products Pvt Ltd		38010	0	0	38010	0.42	38010	0	38010	0.42	0	0.42	0	0.00	0	0.00	38010
Sub Total (AX1)	11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	54.27	0	0.00	0	0.00	4505639
Foreign																	
<b>(a) Individual/Non Resident</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Individual/Foreign Individual																	
<b>(b) Government</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Government																	
<b>(c) Institutions</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Institutions																	
<b>(d) Foreign Portfolio Investor</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Foreign Portfolio Investor																	
<b>(e) Any Other (Specify)</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Any Other (Specify)																	
Sub Total (AX2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A)=(AX1)+(AX2)	11	4884094	0	0	4884094	54.27	4884094	0	4884094	54.27	0	54.27	0	0.00	0	0.00	4505639

Note:

1. Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: N.A.





Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of underlying Depository Receipts	Total nos. shares held (VII)=(V)+(VI)	Shareholding % calculate as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities		No. of Underlying Outstanding convertible securities (including Warrants)	Total shareholding % as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(V)+(VI)	(VIII)	(IX)	(X)	(XI)=(X)+(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)
<b>1</b>																
(a) Mutual Fund	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Venture Capital Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Alternate Investment Fund	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Venture Capital Investor	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Foreign Portfolio Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f) Financial Institutions/Banks	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(g) Insurance Companies	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h) Provident Fund/Pensions Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i) Any Other Specify	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(1)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>2</b>																
Government/State	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Government/President of India	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>3</b>																
<b>(a)</b>																
<b>1. Individual Shareholders holding Nominal Share Capital Up to Rs. 2 Lacs</b>	N.A.	22774	3795606	0	0	3795606	42.17	3795606	42.17	0	42.17	0	0.00	0	0	491800
<b>2. Individual Shareholders holding Nominal Share Capital Above Rs. 2 Lacs</b>	N.A.	1	23100	0	0	23100	0.26	23100	0.26	0	0.26	0	0.00	0	0	23100
<b>(b) NBFCs Registered with RBI</b>	N.A.	0	0	0	0	0	0.00	0	0.00	0	0	0	0	0	0	0
<b>(c) Employee Trusts</b>	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>(d) Overseas Depositories (Holding DRs) (Balancing Figure)</b>	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>(e) Any other - (Specify) Body Corporate</b>	N.A.	131	295900	0	0	295900	3.29	295900	3.29	0	3.29	0	0.00	0	0	85200
<b>NRI</b>		3	500	0	0	500	0.01	500	0.01	0	0.01	0	0.00	0	0	500
<b>Clearing Member</b>		2	500	0	0	500	0.01	500	0.01	0	0.01	0	0.00	0	0	500
<b>Trust</b>		1	300	0	0	300	0.00	300	0.00	0	0.00	0	0.00	0	0	300
<b>Sub Total (B)(3)</b>	N.A.	22912	4,115,906	-	-	4,115,906	45.73	4,115,906	45.73	-	45.73	-	-	-	-	601,400
<b>Total Public Shareholding (B)= (B)(1)+(B)(2) + B (3)</b>	N.A.	22912	4,115,906	-	-	4,115,906	45.73	4,115,906	45.73	-	45.73	-	-	-	-	601,400

Notes:  
 1. Details of the shareholders acting as persons in Concert including their Shareholding (No. and %); N.A.  
 2. Details of Shares which remain unclaimed may be given along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.; N.A.  
 3. Name of the Person has been disclosed in the respective categories in case the shareholder hold 1% or more of total number of shares of the Company

Table IV - Statement showing shareholding pattern of the Non Promoter, Non Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)
								Class X	Class Y			No.	As a % of total Shares held	No.	As a % of total shares held (Not applicable)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)		(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)		
1 Custodian/DR Holder	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (if Available)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name (if Available)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non Promoter Non Public Shareholding (C)=(X)+(X2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

